

Cabinet Committee on Performance Improvement

Meeting to be held on 27th February 2017

Report of the Head of Legal & Democratic Services

Electoral Division affected: (All Divisions);
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Corporate Risk and Opportunity Register Quarter 4

(Appendix 'A' refers)

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Executive Summary

This report provides an updated (Quarter 4) Corporate Risk and Opportunity Register for the Committee to consider and comment upon.

Recommendation

The Cabinet Committee on Performance Improvement is asked to consider the updated Corporate Risk and Opportunity Register at Appendix 'A' and make any comments it considers appropriate.

Background and Advice

Following the corporate approach to reporting on risk and opportunity, the quarter 4 Risk and Opportunity register was recently reported to Management Team.

Following this Committee, the Register will be presented to the next Audit, Risk and Governance Committee. An updated Corporate Risk and Opportunity Register is attached at Appendix 'A'.

It was decided at Management Team to undertake a full review of the Corporate Risk and Opportunity Register in the next month, to update it for the new financial year and new budget.

For this quarter, the key highlights in the register include:

- No new entries to the register.
- Several new opportunities:

Opportunity Identification Number	Opportunity Description
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CO6	Income Optimisation
CO7	The completion of the County Hall Old Building refurbishment including the development of the Exchange conferencing facility is almost done. This provides an opportunity to maximise the occupancy of the building and reduce staff travel time and costs through the provision of service focussed accommodation and facilities.
CO8	Develop and implement improved recruitment and retention practices to address increasing challenges

- allowing for mitigating actions, the residual risk score for the following entries remain 12 or above so the issue remains on the register:

Risk Identification Number (RIN)	Risk Description
CR1	Failure to implement fully the County Council's Medium Term Financial Strategy and deliver a longer term financially sustainable budget. Further mitigating actions added. Residual risk score increased to 20.
CR5	Inability to adequately protect and safeguard children. Further mitigating actions added. Residual risk score remains unchanged.
CR6	Failure to comply with statutory requirements and duties relating to CLA, children in need and children leaving care. Further mitigating actions added. Score remains unchanged.
CR7	Failure to recruit and retain experienced staff within Children's services. Further mitigation actions added. Residual risk remains unchanged
CR12	Inability to implement/maintain systems that produce effective management information. Further mitigating actions added but residual score remains the same.
CR16	Management of the County Council's assets. Further mitigating actions added but residual score remains the same.
CR20	Transforming care (Winterbourne). Residual score remains at 12.
CR21	Service user/customer risk associated with the inability to influence behaviour change in demand and expectations continue to rise. Controls and mitigating actions updated but residual score remains at 12.
CR25	Failure to implement and meet the statutory requirement to children and young people with special educational needs and/or disabilities. Further mitigation actions added. Residual score increased to 16 to reflect inspection outcome.
CR26	Proposed museums closures. Further mitigating actions added but residual score remains the same.
CR27	The mobilisation of the home care framework and subsequent service transfer process. Mitigating actions are being put in place but the residual score remains the same.
CR29	Delayed Transfer of Care. Controls and mitigating actions have been agreed but the residual score remains at 20.
CR30	Prevent people being drawn into terrorist activity. Controls and mitigating actions have been agreed to fulfil our duty but the residual score remains at 20.
CO1	Develop new pan-Lancashire approaches to public service delivery.
CO2	Delivering economic growth. Further maximising actions added.

CO4	Health & Social Care Integration. Narrative unchanged.
CO5	Apprenticeship levy and apprentice % in public sector. Narrative updated.
CO6	Income Optimisation: New opportunity added.
CO7	Exchange Conferencing facility: New opportunity added
CO8	Improved recruitment and retention policy: New opportunity added

Consultations

N/A

Implications:

This item has the following implications, as indicated:

Risk management

Good governance enables an authority to pursue its vision effectively, as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

List of Background Papers

Paper	Date	Contact/Tel
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None

Reason for inclusion in Part II, if appropriate

N/A