

# Meeting of the Audit, Risk and Governance Committee

## Monday 14 October 2024

Chair: County Councillor Alan Schofield

### Part I (Open to Press and Public)

#### Appointment of an Independent Co-opted Member

The committee considered a report which set out the recommendation of the Audit, Risk and Governance Committee's Appointments Sub-Committee to appoint an independent co-opted member to the committee's membership, following the sub-committee's meetings held on 1 August, 17 September and 27 September 2024.

The committee's recommendation has been included in Part A of the Full Council agenda.

**Resolved:** That

- i) The outcome of the Appointments Sub-Committee's meetings held on 1 August, 17 September and 27 September 2024 be noted; and
- ii) The appointment of Mr Stephen Dunstan to the role of independent co-opted member on the Audit, Risk and Governance Committee be recommended to Full Council for approval.

#### External Audit – Audit Progress Report and Sector Update

Stuart Basnett, Senior Audit Manager at Grant Thornton UK presented the Audit Progress Report and Sector Update as of September 2024.

**Resolved:** That the Audit Progress Report and Sector Update for September 2024 be noted.

#### Internal Audit Charter

Andy Dalecki, Head of Internal Audit presented the Internal Audit Charter following its annual review.

**Resolved:** That the Internal Audit Charter, as set out, be approved.



## **Internal Audit Progress Report**

Andy Dalecki, Head of Internal Audit presented an update on the Internal Audit Service's work and outcomes for 2024/25, for the period up to 23 September 2024.

**Resolved:** That

- i) The Internal Audit Progress report be noted; and
- ii) The Internal Audit Service be thanked for their continued work and support.

## **Local Member Grants Scheme – Update Report**

Josh Mynott, Head of Democratic Services presented an update on the Local Member Grants Scheme monitoring activity, following the annual report presented to the committee in April 2024.

**Resolved:** That the Local Member Grants Scheme Update Report be noted.

## **Corporate Risk and Opportunity Register – Quarterly Update**

Heloise MacAndrew, Director of Law and Governance presented the updated Corporate Risk and Opportunity Register – Quarterly Update.

**Resolved:** That the updated Corporate Risk and Opportunity Register be approved.

## **Part II (Not Open to Press and Public)**

### **Appendix 'C' to Item 9 - Corporate Risk and Opportunity Register - Quarterly Update**

(Not for Publication - Exempt information as defined in Paragraphs 3 and 7 of Part 1 of Schedule 12A to the Local Government Act, 1972. It is considered that in all the circumstances of the case the public interest in maintaining the exemption outweighs the public interest in disclosing the information.)

The committee considered the private and confidential Appendix C to Item 9 – Corporate Risk and Opportunity Register – Quarterly Update.

**Resolved:** That Appendix C to Item 9 – Corporate Risk and Opportunity Register – Quarterly Update, be noted.

## **RIPA Policy and Annual Report**

(Not for Publication - Exempt information as defined in Paragraph 7 of Part 1 of Schedule 12A to the Local Government Act, 1972. It is considered that in all the circumstances of the case the public interest in maintaining the exemption outweighs the public interest in disclosing the information.)



Chris Wilkinson, Trading Standards Manager presented the private and confidential RIPA Policy and Annual Report.

**Resolved:** That

- i) The Corporate Policy and Guidance on the Regulation of Investigatory Powers Act, the Shadow RIPA Surveillance Corporate Policy, and the Covert Social Networking Checks and Surveillance Policy, as set out, be approved; and
- ii) The use of the council's investigatory powers over the last year and the outcome of the review by the Investigatory Powers Commissioner's Office be noted.

### **Data and Analytics Risks**

(Not for Publication - Exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act, 1972. It is considered that in all the circumstances of the case the public interest in maintaining the exemption outweighs the public interest in disclosing the information.)

Paul Sutcliffe, Head of Digital Business Engagement presented a private and confidential report on the risks associated with the council's use of data and analytics.

**Resolved:** That the report be noted.

### **Oracle Fusion Lessons Learned**

(Not for Publication - Exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act, 1972. It is considered that in all the circumstances of the case the public interest in maintaining the exemption outweighs the public interest in disclosing the information.)

Peter Lloyd, Director of Digital presented a private and confidential report on the lessons learned from the council's implementation of Oracle Fusion.

**Resolved:** That the report be noted.

### **Cyber Security Incident**

(Not for Publication - Exempt information as defined in Paragraphs 3 and 7 of Part 1 of Schedule 12A to the Local Government Act, 1972. It is considered that in all the circumstances of the case the public interest in maintaining the exemption outweighs the public interest in disclosing the information.)

Carol Groom, Head of ICT Strategy and Assurance presented a private and confidential report on the cause, containment and remediation action relating to a cyber security incident faced by the council.



**Resolved:** That

- i) The report be noted; and
- ii) Digital Services be thanked for their commendable work and swift action to respond to the incident.

