

Summary of whistleblowing cases: June 2013 – May 2014

Complaint No.	Date Received	Area	Nature of Complaint	Source Named / anon / caller / letter / email	Action Taken	Outcome		
						Not Upheld	Upheld	Under Investigation
191/F (F = financial issue)	01/06/2013	Schools	Concern about the use of funds/assets by a Lancashire school.	Anon – letters (x2)	Complaint investigated by Internal Audit.		Concerns substantiated. Disciplinary action was taken against the Headteacher and a number of recommendations raised to improve controls.	
192/G (G = general issue)	06/06/2013	Schools – Primary School	Concern about behaviour of Headteacher.	Named – email	Complaint referred to Chair of Governors (with support from Schools HR) for investigation and action.		Concerns substantiated. Headteacher resigned.	
193/G	07/06/2013	Schools - Children's Centre	Concerns about Children Centre Manager.	Anon – letter	Complaint referred to service (with support from Schools HR) for investigation and action.	Concerns not substantiated.		
194/G	22/07/2013	ASHW	Concerns that alleged misuse of the email and internet Acceptable Use Policy not being addressed by management.	Named – caller	Complaint referred to service (with support from Corporate HR) for investigation.	Concerns not substantiated.		
195/F	23/07/2013	CYP	Concerns that overtime being approved at the incorrect rate.	Named – caller	Complaint investigated by Internal Audit.	Concerns not substantiated.		
196/F	30/07/2013	ASHW	Concerns about a senior manager returning to undertake work as a Consultant for the Council after taking VR.	Named – caller	Complaint referred to County Treasurer and investigated by Internal Audit.	Concerns not substantiated.		
197/G	30/07/2013	ASHW	Concerns about the H&S risks of a subway in Burnley.	Named – caller	Complaint referred to service (Highways) for investigation.		Concerns partially substantiated. Remedial works undertaken.	
198/F	07/10/2013	Schools	Concerns regarding an academy use of school funding.	Anon – caller	As the concerns relate to an Academy which does not buy into the council's Responsible Officer service the whistleblower was given advice on how to take forward their concerns.	N/A		
199/F	14/11/2013	ENV	Concerns regarding staff carrying out private work in council time.	Anon – caller (x2)	Complaint investigated by Internal Audit. Surveillance confirmed the council staff were carrying out private work. However, they had both requested annual leave and had informed their line manager that they were carrying out private work.	Concerns not substantiated.		
200/G	19/12/2013	OCL	Concerns about comments made in relation to Information Governance in a Scrutiny Committee webcast on 11/12/2013.	Named – email	Complaint referred to Deputy County Secretary and Solicitor for investigation and response.	Concerns not substantiated.		

201/F	16/01/2014	LCCG	Concerns regarding the selection of contractors/ stock records and the use of funds.	Named – letter	Complaint investigated by Internal Audit.	Concerns not substantiated. However, significant control weaknesses were identified and a number of recommendations have been raised to improve controls.		
202/F	20/01/2014	OCL	Concerns raised regarding the payment of expenses.	Anon – letter	Complaint to be included in Chief Internal Auditor's ongoing investigation regarding OCL.			Ongoing
203/F	11/03/14	CYP	Concerns raised that a provider was bullying their staff and amending supporting information.	Anon - letter	The concerns were discussed with management and were agreed to be outside the scope of the county council. The supporting documentation that had allegedly been changed did not impact the level of funding provided or place service users at risk.		N/A	
204/F	11/04/14	ASHW	Concerns that a Care Provider is charging the council for work not completed.	Named – letter	The concern is being investigated by Internal Audit with support from the business. Visits have been made to the Care Provider and our investigation is ongoing.			Ongoing
205/F	11/04/14	ASHW	Concerns regarding making an individual redundant.	Named - letter	The concern is being investigated by Internal Audit.			Ongoing
206/F	15/04/14	ENV	Concerns regarding staff working hours and overtime.	Anon – letter	The concerns have been investigated by Internal Audit.	Concerns not substantiated. However, control weaknesses were identified and a number of recommendations have been raised to improve controls.		
207/F	17/04/14	ENV	Concerns regarding the selection of contractors.	Anon – letters (several)	The concerns have been investigated by Internal Audit.	Concerns not substantiated. However, significant control weaknesses were identified and a number of recommendations have been raised to improve controls.		
208/G	23/05/2014	ASHW	Concerns regarding racist comments made by an employee on their Facebook page in 2010. Page clearly states they are an employee of LCC.	Named – email	Complaint referred to Service (ASHW) for investigation.		Concerns substantiated. Informal action taken.	
209/G	21/05/2014	LCC	Alleged that an LCC employee has been abusing someone on Facebook.	Named – email	No records to show any current or former employee, contingency worker or agency worker by the name provided in the complaint Emailed complainant to inform them of this.	Concerns not substantiated based on information provided.		
210/G	29/05/2014	LCCG	Concerns regarding racist comments made on Playstation 4 chat site which is in the public domain by an LCC employee.	Anon – email/Twitter	Complaint referred to Service (LCCG) for investigation.		Concerns substantiated. Employee resigned with immediate effect.	

211/G	30/05/2014	ASHW	Concerns regarding alleged harassment by ex-partner and complaints raised by ex-partner to Management.	Named – email	Enquiries have previously been undertaken into complaint by Service. No new evidence to support the complaint and no action therefore taken.	Concerns not substantiated.		
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