

**Audit, Risk and Governance Committee**  
Meeting to be held on Monday, 30 July 2018

Electoral Division affected:  
(All Divisions);

**Corporate Risk and Opportunity Register Quarter 1**  
(Appendix 'A' refers)

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**Executive Summary**

This report provides an updated (Quarter1) Risk and Opportunity Register for the committee to consider and comment upon.

**Recommendation**

The committee is asked to note the updated Corporate Risk and Opportunity Register at Appendix 'A'.

**Background and Advice**

Following the corporate approach to reporting on risk and opportunity the quarter 1 Corporate Risk and Opportunity register was recently reported to Corporate Management Team following a review of the register. The register has now been updated to reflect changing priorities and the budget. It has also been aligned to the Annual Governance Statement (AGS) and it is the primary means of reporting progress for the main issues raised in the AGS. The Register was considered by the Cabinet Committee for Performance Improvement on 27 June 2018. An updated Corporate Risk and Opportunity Register is attached at Appendix 'A'.

As stated above, it was decided at Corporate Management Team to undertake a full review of the Risk and Opportunity Register and to update it for the new financial year and new budget. Allowing for mitigating actions, the residual risk score for the following entries are 12 or above.

<b>Risk Identification Number (RIN)</b>	<b>Risk Description</b>
CR1	Delivering the Operational Plan to ensure a strong and sustainable County Council <ul style="list-style-type: none"> <li>• Establishing a strong and visible leadership team</li> <li>• Embed a focus on service delivery to secure a better service at a lower cost</li> <li>• Develop a sustainable financial strategy</li> </ul> Allowing for mitigating actions the residual score is 16
CR2	Protect and safeguard children. Further mitigating actions added. Residual risk score remains unchanged.
CR3	Complying with statutory requirements and duties relating to children looked after, children in need and children leaving care. Score remains unchanged.
CR4	Recruit and retain experienced staff within Children's Services. Further mitigation actions added. Residual risk remains unchanged
CR5	Managing our data well and producing effective management information. Allowing for mitigating actions the residual score is 12.
CR6	Implement/maintain core systems that support the organisation, deliver transformational change and deliver efficiencies, cost reductions and produce effective management information that supports management decision making. Allowing for mitigating actions the residual score is 12.
CR7	Delivering major projects/schemes on time and within budget. Allowing for mitigating actions the residual score is 12.
CR8	Delivering a statutory service for children and young people with special educational needs and/or disabilities. Allowing for further mitigating actions the residual score remains at 16.
CR9	Discharge of patients from hospital into their own home or enablement/short term care in a safe and timely manner. Allowing for mitigating actions residual score remains at 20.
CR10	Adult social care provision is adequate and responsive to meet current and future demand. Allowing for further mitigating actions the residual score remains at 12
CR11	Supporting disadvantaged families to fulfil their potential (Troubled Families Programme. Allowing for further mitigating actions the residual score remains at 16
CO1	Delivering the Operational Plan to ensure a strong and sustainable County Council <ul style="list-style-type: none"> <li>• Delivering growth and prosperity for the whole of Lancashire</li> </ul> This opportunity has a score of 16
CO2	Apprenticeship Levy and Apprentice % in Public Sector. This has an opportunity score of 15
CO3	Develop and implement improved recruitment and retention practices to address increasing challenges. This has an opportunity score of 16.

## Consultations

N/A

**Implications:**

This item has the following implications, as indicated:

**Risk management**

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

**List of Background Papers**

Paper	Date	Contact/Tel
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N/A

Reason for inclusion in Part II, if appropriate

N/A