

**Audit, Risk and Governance Committee**  
Meeting to be held on Monday, 29 October 2018

Electoral Division affected:  
(All Divisions);

**Corporate Risk and Opportunity Register Quarter 2**  
(Appendix 'A' refers)

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**Executive Summary**

This report provides an updated (Quarter 2) Risk and Opportunity Register for the committee to consider and comment upon.

**Recommendation**

The Audit, Risk and Governance Committee is asked to note the updated Corporate Risk and Opportunity Register as set out at Appendix 'A' and comment as appropriate.

**Background and Advice**

Following the corporate approach to reporting on risk and opportunity, the quarter 2 Risk and Opportunity Register was reported to Corporate Management Team following a review of the register. The register has now been updated to reflect changing priorities and the updated budget position. The register was presented to the Cabinet Committee on Performance Improvement on 4 October 2018. An updated Corporate Risk and Opportunity Register is attached at Appendix 'A'.

For this quarter, there has been one addition to the register CR12 – Unlawful disclosure of personal or commercial data caused by a deliberate or accidental or technical breach, resulting in a risk to the rights and freedoms of the data subject or the intellectual property of the county council. From April to June 2018, there were 98 data incidents, of which 7 were reported to the Information Commissioner's Office. Allowing for mitigating actions this risk has a residual score of 12. Further mitigating actions are currently being developed, including a new training course for staff who have been responsible for such breaches.

Risk Identification Number (RIN)	Risk Description
CR1	Delivering the Operational Plan to ensure a strong and sustainable County Council <ul style="list-style-type: none"> <li>• Establishing a strong and visible leadership team</li> <li>• Embed a focus on service delivery to secure a better service at a lower cost</li> <li>• Develop a sustainable financial strategy</li> </ul> Allowing for mitigating actions the residual score is 16.
CR2	Protect and safeguard children. Further mitigating actions added. Residual risk score remains unchanged.
CR3	Complying with statutory requirements and duties relating to children looked after, children in need and children leaving care. Following the Ofsted inspection the residual risk score has been reduced to 12.
CR4	Recruit and retain experienced staff within Children's Services. Further mitigation actions added. Residual risk remains unchanged.
CR5	Managing our data well and producing effective management information. Allowing for mitigating actions the residual score is 12.
CR6	Implement/maintain core systems that support the organisation, deliver transformational change and deliver efficiencies, cost reductions and produce effective management information that supports management decision making. Allowing for mitigating actions the residual score is 12.
CR7	Delivering major projects/schemes on time and within budget. Allowing for mitigating actions the residual score is 12.
CR8	Delivering a statutory service for children and young people with special educational needs and/or disabilities. Allowing for further mitigating actions the residual score remains at 16.
CR9	Discharge of patients from hospital into their own home or enablement/short term care in a safe and timely manner. Allowing for mitigating actions residual score remains at 20.
CR10	Adult social care provision is adequate and responsive to meet current and future demand. Allowing for further mitigating actions the residual score remains at 12.
CR11	Supporting disadvantaged families to fulfil their potential (Troubled Families Programme). Allowing for further mitigating actions the residual score remains at 16.
CR12	Unlawful disclosure of personal or commercial data caused by a deliberate or accidental or technical breach resulting in a risk to the rights and freedoms of the data subject or the intellectual property of the county council. Allowing for mitigating actions the residual risk score is 12.
CO1	Delivering the Operational Plan to ensure a strong and sustainable County Council <ul style="list-style-type: none"> <li>• Delivering growth and prosperity for the whole of Lancashire</li> </ul>

	This opportunity has a score of 16.
CO2	Apprenticeship Levy and Apprentice % in Public Sector. This has an opportunity score of 15.
CO3	Develop and implement improved recruitment and retention practices to address increasing challenges. This has an opportunity score of 16.

### **Consultations**

N/A

### **Implications:**

This item has the following implications, as indicated:

### **Risk management**

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk and Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

### **List of Background Papers**

Paper	Date	Contact/Tel
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None

Reason for inclusion in Part II, if appropriate

N/A