Audit, Risk and Governance Committee
Meeting to be held on Monday, 29 July 2019

| Electoral Division affected: |
| (All Divisions); |

Corporate Risk & Opportunity Register - Quarter 2 2019/20
Appendix A refers

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Executive Summary

This report provides an updated (Quarter 2) Corporate Risk and Opportunity Register for the committee to consider and comment upon.

Recommendation

The committee is asked to note the updated Corporate Risk and Opportunity Register as set out at Appendix A.

Background and Advice

Following the corporate approach to reporting on risk and opportunity the quarter 2 Risk and Opportunity register was reported to Corporate Management Team following a review of the register. The register has now been updated to show progress against the risks and opportunities. Following this committee meeting the register will be presented to the next Cabinet Committee for Performance Improvement. An updated Corporate Risk and Opportunity Register is attached at Appendix A.

A summary of the key updates to the register are set out below.

Corporate risks (CR)

CR1 Reshaping the County Council

This risk replaces the previous risk on delivering the operational plan and focuses on having the right workforce plans, capacity and skills to ensure we are sufficiently innovative/radical to transform services at the required pace in order to achieve the scale of change needed to deliver a balanced budget.

This has been updated and includes updates and actions in relation to workforce planning, recruitment and building the Lancashire brand.

It also covers further embedding a focus on service delivery though a second phase of the service challenge process. This has been updated to include the work streams.

CR 2 - Protect and safeguard children

In June 2019 a Peer Challenge was undertaken with a focus on the Multi Agency Safeguarding Hub (MASH) and demand management. There has been a further 2.9% increase in the number of children on a Child Protection Plan since the last quarter.

CR 3 - Complying with statutory requirements and duties relating to children looked after, children in need and children leaving care

There has been some positive improvement in performance which have included Children Looked After (CLA) visits within timescale and the number of reviews completed within timescale.

CR4 - Increase in demand, including rise in number of contacts and referrals and an increase in Children Looked After numbers

A bid has been submitted to the Department for Education to secure funding for Family Safeguarding. In June 2019 a Peer Challenge was undertaken with a focus on Multi Agency Safeguarding Hub (MASH) and demand management.

CR5 – Recruit and retain experienced staff across the organisation

This risk replaced the risk that focused on Children's Services and is now authority wide. It sets out what has and what is being done corporately to both retain and recruit staff.

CR6 - Managing our data well and producing effective management information

Work in this area is on-going. There is an update on the corporate reporting strategy and the outcome of the workshops. Scrutiny committee members have met to look at Key Performance Indicators in the context of the new corporate strategy.

CR7 - Implement/maintain core systems that support the organisation, deliver transformational change and deliver efficiencies, cost reductions and produce effective management information that supports management decision making

For this risk there are updates on the support work around service challenge and highways.

CR8 - Delivering major projects/schemes on time and within budget

Update on project and programme management for the 2019/20 delivery programme.

CR9 - Delivering a statutory service for children and young people with special educational needs and/or disabilities

Continued positive progress in delivery of the Improvement Plan but with some slippage, clearly highlighted in the Plan, which is monitored through the Partnership Board. The narrative reflects the update presented to Children's Scrutiny Committee.

CR10 - Supporting disadvantaged families to fulfil their potential (Troubled Families Programme)

No change.

CR 11 Future provision of ICT services

A report will be presented to August Cabinet.

CR12 - Intermediate care for older people in a residential setting

The Carnall Farrer Review of Intermediate Care concluded with the final report at the end of May 2019. When the report was reviewed at the Better Care Fund Steering Group, it was identified that there was additional benefits to including information from neighbouring authorities.

Corporate Opportunities (CO)

CO1 - Delivering growth and prosperity for the whole of Lancashire

No change

CO2 - Apprenticeship Levy and Apprentice % in Public Sector

Includes an update on transactional spend.

CO3 – Fair Funding and Business Rate Retention

Update on the business rate retention pilot in Lancashire.

CO4 – Working collaboratively with key health partners

Update on the initial work being undertaken to develop a county council offer to the health economy.

Consultations

N/A

Implications:

This item has the following implications, as indicated:

Risk management

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk and Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

List of Background Papers

Paper   Date   Contact/Tel

None

Reason for inclusion in Part II, if appropriate

N/A