

Audit, Risk and Governance Committee
Meeting to be held on Monday, 29 January 2018

Electoral Division affected:
(All Divisions);

Risk and Opportunity Register Quarter 3
(Appendix 'A' refers)

Contact for further information:
Paul Bond, Tel: (01772) 534676, Head of Legal and Democratic Services,
paul.bond@lancashire.gov.uk

Executive Summary

This report provides an updated (Quarter 3) Risk and Opportunity Register for the Committee to consider and comment upon.

Recommendation

The Committee is asked to consider and note the updated Risk and Opportunity Register at Appendix 'A'.

Background and Advice

Following the corporate approach to reporting on risk and opportunity the quarter 3 Risk and Opportunity register was recently reported to Management Team. Following this the Register was also presented to Cabinet Committee for Performance Improvement on 6 December 2017. An updated Risk and Opportunity Register is attached at Appendix 'A' and the Committee is asked to comment upon it.

For this quarter the key highlights in the register include:

- No new entries to the register.
- One deletion
 - CR28 Risk of not fulfilling our duty in relation to Court of Protection applications
- allowing for mitigating actions, the residual risk score for the following entries remain 12 or above so the issue remains on the register:

Risk Identification Number (RIN)	Risk Description
CR1	Failure to implement the county council's MTFS. Updated but residual risk score remains unchanged.
CR2	Risk to the on-going financial viability of the county council. Updated but residual risk score remains unchanged.

CR4	Delivering Organisational Transformation. Updated but residual risk score remains unchanged.
CR5	Inability to adequately protect and safeguard children. Further mitigating actions added. Residual risk score remains unchanged.
CR6	Failure to comply with statutory requirements and duties relating to CLA, children in need and children leaving care. Further mitigating actions added. Score remains unchanged.
CR7	Failure to recruit and retain experienced staff within Children's services. Further mitigation actions added. Residual risk remains unchanged
CR8	Reputational damage and risk of direct intervention by DFE. Further mitigation actions added. Residual risk remains unchanged.
CR12	Inability to implement/maintain systems that produce effective management information. Further mitigating actions added but residual score remains the same.
CR16	Management of the County Council's assets. Further mitigating actions added but residual score remains the same.
CR20	Transforming care (Winterbourne). Residual score remains at 12.
CR21	Service user/customer risk associated with the inability to influence behaviour change in demand and expectations continue to rise. Controls and mitigating actions updated but residual score remains at 12.
CR24	Failure to achieve targets with National Troubled Families Unit. Remains unchanged.
CR25	Failure to implement and meet the statutory requirement to children and young people with special educational needs and/or disabilities remains unchanged.
CR26	Proposed museums closures. Further mitigating actions added but residual score remains the same.
CR27	The mobilisation of the home care framework and subsequent service transfer process. Mitigating actions are being put in place but the residual score remains the same.
CR29	Delayed Transfer of Care. Controls and mitigating actions have been agreed but the residual score remains above 12.
CR30	Prevent people being drawn into terrorist activity. Controls and mitigating actions have been agreed to fulfil our duty but the residual score remains above 12.
CO1	New Model of Public Service Delivery. Update on shadow combined authority.
CO2	Delivering economic growth. Further maximising actions added.
CO4	Health & Social Care Integration. Narrative no change
CO5	Apprenticeship levy and apprentice % in public sector. New opportunity that has been added to the register.

Consultations

N/A

Implications:

This item has the following implications, as indicated:

Risk management

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

Financial

There are no financial implications as a result of this report.

Paper	Date	Contact/Tel
-------	------	-------------

N/A

Reason for inclusion in Part II, if appropriate

N/A