

Audit, Risk and Governance Committee

Meeting to be held on Monday, 28 October 2019

Electoral Division affected: (All Divisions);
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Internal Audit Progress Report

Appendices A and B refer

Contact for further information:

Ruth Lowry, (01772) 534898, Head of Internal Audit
ruth.lowry@lancashire.gov.uk

Executive Summary

In the context of the committee's responsibility to consider updates on the Internal Audit Service's work including key findings, issues of concern and action being taken as a result of internal audit work, the committee is asked to consider the first internal audit progress report and outcomes of the work for 2019/20 for the period to 30 September 2019.

Recommendation

The Audit, Risk and Governance Committee is asked to consider the report.

Background and Advice

This report sets out for the committee the internal audit work performed under the audit plan for 2019/20 approved in May 2019.

Appendix A highlights key issues that the committee should be aware of at this point in fulfilling its role of providing independent oversight of the adequacy of the council's governance, risk management and internal control framework. In particular it sets out a small number of amendments to the audit plan, information about the status of action plans agreed with management, and summaries of the ten audits completed in the period to 30 September 2019.

Appendix B sets out the audit assurance levels and classification of residual risks used by the Internal Audit Service.

Consultations

The chief executive and director of resources, the director of finance, and each of the directors and/or heads of service who have sponsored the audit work reported here has been consulted.

Implications:

This item has the following implications, as indicated:

Risk management

This report supports the Audit, Risk and Governance Committee in undertaking its role, which includes providing independent oversight of the adequacy of the council's governance, risk management and internal control framework.

Local Government (Access to Information) Act 1985**List of Background Papers**

Paper	Date	Contact/Tel
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None

Reason for inclusion in Part II, if appropriate

Not applicable.